# **KORESPONDENSI PAPER**

Judul : Point of (no) return? Vegetation structure and diversity of restored mangroves in Sulawesi, Indonesia, 14- 16 years on

**Jurnal** : Restoration Ecology

No.	Aktivitas/Status	Tanggal	Keterangan
1.	Submission	5 Januari 2023	Covering Letter of Submission
2.	First Decision	13 April 2023	Decision on manuscript and
			Reviewers' Comments
3.	Revised	22 Mei 2023	Decision on Revision Letter;
			Authors' Comments, Decision
			(sama dengan yang dimuat dalam
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4.	Uncorrected Proof,	12 Juni 2023	Uncorrected Proof Document,
	Author Query Form		Author Query Form and
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7.	Published online	25 Juni 2023	Available online (open access)

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# **Publication History**



# **Covering Letter of Submission**

Re: Manuscript submission to Restoration Ecology

Dear Editor,

We would be very pleased if you considered our manuscript 'Point of (no) return? Vegetation structure and diversity of restored mangroves 14-16 years on' by Djamaluddin et al., for publication in Restoration Ecology.

In this study we evaluate the success of different restoration actions taken at two sites in Sulawesi, Indonesia, going beyond the typically used metrics 'area restored'. We assess species diversity and structure of these mangroves 14-16 years post restoration actions taken. Our data reveal significant differences between the restored and reference sites, which would not have become clear from using conventional methods only. Mixed Species Regeneration stands more closely replicated the structure and diversity of Reference Forest stands than Monoculture Reforestation stands. We argue that the still common practice of planting seedlings of one or two species only, in narrow rows, must be discouraged when the goal is to bring back diverse, functional forests.

We therefore think that the outcomes of this bilateral study, a collaboration between four UK and three Indonesian Universities, are of high interest to the readership of Restoration Ecology.

We are looking forward to hearing from you.

Sincerely,

Marin Diele

Edinburgh Napier University, UK

- An-

UNSRAT University, Indonesia

On behalf of all coauthors

# Decision on manuscript and Reviewers' Comments

13-Apr-2023

Manuscript ID REC-23-002 entitled "Point of (no) return? Vegetation structure and diversity of restored mangroves 14-16 years on" which you submitted to Restoration Ecology, has been reviewed. The comments of the Reviewers and Coordinating Editor, Dr. Siobhan Fennessy, are included at the bottom of this letter.

Your manuscript was generally well-received, but some issues deserve your attention so that the manuscript could become publishable in RE. Dr. Fennessy has recommended minor revisions to your manuscript to allow you to deal with the criticisms, and I invite you to respond to all of the review comments and revise your manuscript accordingly. Also, please revisit the author guidelines

(http://onlinelibrary.wiley.com/journal/10.1111/%28ISSN%291526-

100X/homepage/ForAuthors.html) and address the following:

- Please follow the general rule of up to three references in support of a given statement/argument.

- Please give the most relevant statistical results in the text and move Tables 2 and 3 to supporting information.

Please be very explicit in describing your response to the comments and address each concern point by point; this will speed up the processing of your revision.

To revise your manuscript, log into https://mc.manuscriptcentral.com/rec and enter your Author Center, where you will find your manuscript title listed under "Manuscripts with Decisions." Under "Actions," click on "Create a Revision." Your manuscript number has been appended to denote a revision.

You will be unable to make your revisions to the previously submitted version of the manuscript. Instead, revise your manuscript using a word processing program and save it on your computer. Please also indicate the changes to your manuscript within the document by using highlighted text in MS Word. It is essential that you also document all changes made or justify why you have not made a change suggested by a reviewer (see below).

Once the revised manuscript is prepared, you can upload it and submit it through your Author Center.

When submitting your revised manuscript, you will be able to respond to the comments made by the reviewers and editors in the space provided. You can use this space or a covering letter to document any changes you made to the original manuscript or explain why you disagree with the review comments. To expedite the processing of the revised manuscript, be as specific as possible in your responses (please address review comments point by point).

IMPORTANT: Your original files are available to you when you upload your revised manuscript. Please delete any redundant files before completing the submission.

Because we are trying to facilitate the timely publication of manuscripts submitted to Restoration Ecology, your revised manuscript should be uploaded as soon as possible. If it is not possible for you to submit your revision in a reasonable amount of time, we may have to consider your paper as a new submission.

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Once again, thank you for submitting your manuscript to Restoration Ecology, and I look forward to receiving your revision.

Sincerely, Valter Amaral

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Valter Amaral, PhD Managing Editor, Restoration Ecology

# **Reviewers' Comments to Author:**

Reviewer: 1

Comments to the Author

Mangrove restoration is the process of rebuilding and rehabilitating mangrove ecosystems that have been damaged or destroyed due to human activities or natural disasters. Successful mangrove restoration requires careful planning, community involvement, and long-term monitoring and management. Restoration projects can provide numerous benefits, including improved water quality, increased coastal protection, and enhanced biodiversity, as well as economic benefits for local communities through sustainable fisheries and ecotourism.

Overall, mangrove restoration is an important tool for preserving and restoring vital coastal ecosystems and protecting the many benefits they provide to both people and the environment.

This manuscript deals with the comparison of different forms of reforestation in Indonesia after 14-16 years. Best results were obtained by recolonization by numerous mangrove species. Here the similarity of vegetation structure with intact mangrove was greatest. The manuscript is clearly organized, and the results are well supported by statistical procedures. Relevant literature has been sufficiently considered.

My only change request concerns the title of the paper: Here I would definitely add the study area "Sulawesi, Indonesia".

Reviewer: 2

Comments to the Author

This is an interesting analysis of mangrove restoration comparing two sites, each with two different planting regimes and an associated adjacent natural reference site. This paper is well organized and clear and, as the authors state, demonstrates the value of more intensive measures of mangrove restoration to demonstrate the performance of the different planting approaches.

The sampling strategy is clear except for the reference to the six 10x10 m plots sampled along the vegetation transect (line 190); are these the same as the 'treatment comparison plots' referenced at line 247? Please clarify in the manuscript.

Hydrology and elevation are important in mangrove restoration – are there any data on these variables that can be used to further analyze the vegetation data? Both are mentioned in the paper but no details are provided.

Figure 4, line 696: the colors used to denote mixed species regeneration vs. reference are not distinguishable in the color version, and none of the three colors show up differently in a black and white version. Please alter the symbols so the results can be distinguished.

Coordinating Editor Comments to Author:

Coordinating Editor: Fennessy, Siobhan

Comments to the Author:

Both reviewers felt this is a strong paper and support its publication with only a few revisions. We look forward to seeing the revised manuscript!

Dr. Siobhan Fennessy

# **Decision on Revision**

# Valter Amaral <a href="mailto:onbehalfof@manuscriptcentral.com">onbehalfof@manuscriptcentral.com</a>

22-May-2023 Your manuscript entitled "Point of (no) return? Vegetation structure and diversity of restored mangroves in Sulawesi, Indonesia, 14-16 years on" has been successfully submitted online and is presently being given full consideration for publication in Restoration Ecology.

Your manuscript ID is REC-23-002.R1.

Please mention the above manuscript ID in all future correspondence or when calling the office for questions. If there are any changes in your street address or e-mail address, please log in to Manuscript Central at <u>https://mc.manuscriptcentral.com/rec</u> and edit your user information as appropriate.

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This journal offers a number of license options, information about this is available here: <u>https://authorservices.wiley.com/author-resources/Journal-</u> <u>Authors/licensing/index.html</u>. All co-authors are required to confirm that they have the necessary rights to grant in the submission, including in light of each co-author's funder policies. For example, if you or one of your co-authors received funding from a member of Coalition S, you may need to check which licenses you are able to sign.

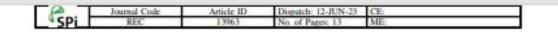
Thank you for submitting your manuscript to Restoration Ecology.

Sincerely, Valter Amaral

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Valter Amaral, PhD Managing Editor, Restoration Ecology

#### **Uncorrected Proof**



#### RESEARCH ARTICLE

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# Point of (no) return? Vegetation structure and diversity of restored mangroves in Sulawesi, Indonesia, 14–16 years on

Rignolda Djamaluddin<sup>1,2</sup>, Marco Fusi<sup>3,4</sup>, Brama Djabar<sup>5</sup>, Darren Evans<sup>6</sup>, Rachael Holmes<sup>7</sup>, Mark Huxham<sup>4,8</sup>, Darren P. O'Connell<sup>6,9</sup>, Ulrich Salzmann<sup>10</sup>, Ian Singleton<sup>4,8</sup>, Aiyen Tjoa<sup>11</sup>, Agus Trianto<sup>12</sup>, Karen Diele<sup>3,7,8</sup>

Mangrove forests, benefitting millions of people, experience significant degradation. Global recognition of the urgency of halting and reversing this trend have initiated numerous restoration activities. Restoration success is typically evaluated by estimating mangrove survival and area restored, while diversity and structure of vegetation, as proxies for functional forests, are rarely considered. Here we assess mangrove species richness along sea-landward transects and evaluate restoration outcomes by comparing number of mangrove species, relative species abundance, biomass, diameter, and canopy cover in "Monoculture Reforestation," "Mixed Species Regeneration" and adjacent "Reference" forest stands, 14 (Tiwoho site) and 16 years (Likupang site) after restoration activities took place. In the "Monoculture Reforestation" plots, mangrove diversity and structure still closely reflected the original restoration actions, with only one and two "new" species having established among the originally densely planted "foundation" species. In contrast, the "Mixed Species Regeneration" plots were more similar to the "Reference" plots in terms of tree diameter and canopy coverage, but species number, abundance and biomass were still lower. The trajectory of the "Mixed Species Regeneration" plots suggests their similarity with the "Reference" stands, in the foreseeable future. Implementing frequent small-scale disturbances in restored forest management would increase stand structure and diversity, accelerating the establishment of a more natural, and likely more functional and resilient forest.

Key words: Indonesia, mangrove forest, regeneration, restoration, Sulawesi

#### Implications for Practice

- "Mixed Species Regeneration" areas more closely replicated the structure and diversity of "Reference Forest" than "Monoculture Reforestations" after 14–16 years. This would not have been clear from using conventional methods of assessing tree survival and area restored.
- When the goal is to bring back diverse, functional forests, the still common practice of planting seedlings of one or two species only, in narrow rows, must be discouraged.
- Creating small gaps in planted monospecific forests could help practitioners to drive plantations into more diverse and resilient mangroves.

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50 Mangrove forests are unique tropical and subtropical ecosystems. 51 They offer essential habitat and nursery grounds for commercially important fish and other fauna (Robertson & Duke 1987; 52 52 Mohamed et al. 2014; Huxham et al. 2017), can sustain the sec-54 ondary production of fisheries resources (Sandoval et al. 2022), 55 afford firewood, materials for the construction of houses and fish-56 ing gear and income (Djamaluddin 2004; Diele et al. 2010; 57 Chow 2018), protect coastlines from erosion (Lee et al. 2014;

dentified the nungrove species and wrote the numercript with KD; all authors satisfued to and revised numercript drafts.
Pacalty of Pisherias and Marine Science, San Ratulangi University, Salawosi Utara 8115, Indonesia
INCC Joint Nature Conservation Committee, Monkstone House, City Road, Vaterborough PEJ 117, U.K.
Centre for Conservation and Restoration Science, Edinburgh Napier University, ideburgh EH114BN, U.K.
Perkumpulan KELOLA, Sulawasi Utara 95115, Indonesia
School of Natural and Environmental Sciences, Newcastle University, Newcastle apon lyne NE3 7RU, U.K.
School of Geography, Geology and the Environment University of Leicester, Leicester JEI 7RH, U.K.
School of Applied Science, Ediaburgh Napier University, Ediaburgh EH11 485, U.K. Address correspondence to R. Djarnaladdan, amail k diele@rapier.ac.tk; K. Diele, amail teredels@rapier.ac.td
School of Biology and Environmental Science, University College Dublin, Dublin 304 N2ES, Indand
"Department of Geography and Environmental Sciences, Northambria University, Newcastle upon Tyne NEI 88T, U.K.
<sup>1</sup> Faculty of Agriculture, Tadulako University, Sulawon, Tengah 94118, Indonesia <sup>1</sup> Faculty of Pisheries and Marine Sciences, Diponegoro University, Jawa Tengah 80278, Indonesia
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Author contributions: RD, KD, MF, DE, AT, ABT, US conceived the study; RD, BD,

MF carried out the fieldwork: MF led the analyses with input from RD, KD; RD

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Dasgupta et al. 2019), bioremediate and help mitigate climate 2 change by sequestering carbon (Murdivarso et al. 2015; Cameron et al. 2019; Jennerjahn 2020). Despite their ecological and economic importance, mangroves have experienced significant 4 5 degradation and deforestation (Polidoro et al. 2010). In Indonesia, for example, at least 10%, and potentially more than 6 33% of the country's mangroves have been lost in the last decades (Kusmana 2014: Tosiani 2020: Arifanti et al. 2021). Shrimp aqua-8 culture has been particularly damaging, with some estimates indicating a loss of approximately 800,000 ha of mangrove area in the 30 years following the shrimp pond aquaculture expansion pol-12 icy (Ilman et al. 2021). Between 1987 and 2002, 123,000 ha of 13 mangroves were legally degraded (Sukardio 2006) and a range of 14 illegal activities and pressures, including logging, mining, reclama-15 tion (Kusmana 2014; Arifanti et al. 2019; Arifanti et al. 2021) and pollution (Pramudji 2001) caused additional losses. 16

By raising awareness of the manifold negative consequences of mangrove loss, efforts to conserve them, and to rehabilitate or 18 19 restore degraded mangrove areas have increased across the globe 20 (Kairo et al. 2001; Dale et al. 2014; Feller et al. 2017), giving rise to tentative mangrove conservation optimism (Friess et al. 2020). 22 In fact, restoration/rehabilitation (R/R) projects have nearly tripled in the last 20 years with the majority taking place in Southeast 24Asia and Brazil (Duarte et al. 2020). The government of 25 Indonesia has boldly committed to restore 600,000 ha of man-26 groves by 2024, to help mitigate climate change and deliver its 27 Nationally Determined Contribution Targets (adopting the con-28 cept of blue carbon), protect coastlines, and to bring back the many 29 other ecosystem services that mangrove forests provide (Kumpas 30 2021; Sidik et al. 2023). As in most other regions (Portillo et al. 2017), the Indonesian government's R/R actions often involve planting of propagules or seedlings, and mangrove restoration is considered a success if the survival rate is \$70% (Ministerial Regulation Forestry No. P.70/Menbut-II/2008). 35 However, many mangrove restoration activities have failed, in 36 Indonesia as elsewhere, due to, for example, selection of the wrong species (Alwidakdo et al. 2014; Barnuevo & Asaeda 2018), tidal 37 abrasion/erosion (Alwidakdo et al. 2014), and pests and diseases 38 (Alwidakdo et al. 2014; Makaruku & Aliman 2020). It is thus 39 40 important that the environmental setting of an area to be restored 41 is firstly adequately assessed (Balke & Friess 2016). Following 42 the principles of ecological managove restoration (Lewis 1999), planting should only be conducted when natural propagule supply 43 44 is absent, and if natural recruitment cannot be aided through hydro-45 logical modifications bringing back tidal inundation. Furthermore, when planting is the chosen method for restoration, it is desirable 46 47 to consider not only area (successfully) replanted as the key metric for success, but also the diversity of the replanted forest 48 49 (Lee et al. 2019). For example, a recent study has shown how the 50 presence of species with different root structures diversifies habitat 51 conditions. This complexity of the root structure results in a multi-52 functional ecological habitat (Vorsatz et al. 2021).

53 Mangrove restoration projects have also failed due to a lack 54 of community involvement at the onset of projects, missing 55 or inappropriate governance structures and misalignment of 56 the objectives of external agents and local stakeholders (Field 57 1998). Mangrove restoration is often conducted as a "one-off" (Brown 2017; Kodikara et al. 2017; Lee et al. 2019) without 58 adequate documentation and monitoring of success, unlike restoration projects conducted for other ecosystems (Mazón et al. 60 2019). Moreover, aspects related to diversity, ecological functions 61 and resilience are rarely monitored (Yando et al. 2021). 62

Here, we assess plant species diversity and forest structure at 63 two restoration sites in Northern Sulawesi, Indonesia, one repre-64 senting an estuarine and the other a coastal fringe geomorpho-65 logical setting, to inform future restoration activities. Between 66 67 2003 and 2005 degraded former shrimp pond land was restored by local communities at both sites. Different levels of hydrolog-68 ical interventions (none, digging trenches, and opening locks of 69 shrimp ponds) and restoration measures were conducted, the lat-70 ter involving areas of both monospecific planting in dense rows and a mixed approach of facilitated natural regeneration follow-72ing initial random planting of several species. We evaluated the 73 success of the different restoration actions taken, going beyond 74 75 the typically used metrics "area restored," by assessing species 76 diversity and structure of these mangroves relative to the method used to restore them, and how they compare to nearby reference stands 14 of 16 years on. Our study reveals significant differ-78ences between the restored sites with implications for future 79 mangrove management strategies. 80

Methods

#### Study Area

Two restoration sites of similar age presenting different geomor-86 phological settings were selected, Likupang (16 years old restora-87 tion site; 1°40'11.40"N 125°2'13.45"E), a riverine/estuarine low 88 intertidal mangrove and Tiwoho (14 years old restoration site; 89 1°35'41.57"N 124°50'41.75"E), a coastal fringe mangrove part 90 of the Bunaken National Park, at the north and west coast of North 91 Sulawesi, Indonesia (Fig. 1), respectively. The mangrove forest at **F 1**2 Tiwoho is situated on a relatively narrow elevated intertidal 93 zone between the sea and mountainous hinterland and receives 94 a smaller input of sediment and freshwater compared to 95 Likupang. Seaward the Tiwoho forest is bordered by seagrass 96 meadows and coral reefs. Both sites experience semidiurnal tides. 97

The two restoration sites have similar elevation (approximately QR. 4.5 m above sea level) and experienced semidiurnal tides during 99 the study period. Tidal inundation was monitored between July 100 18, 2019 and August 2, 2019 at Tiwoho and August 3, 2019 101 and August 21, 2019 at Likupang (Hobo Onset Water Level Loggers 0-4 m). At the estuarine Likupang site, the forest floor was inundated daily during the entire monitoring period. In contrast, at Tiwoho's fringing mangrove system, flooding only occurred at 105 8 out of the 15 monitored days (O'Connell et al. 2022). Maximum 106 tidal ranges at Likupang were 140 and 60 cm at spring and neap 107 tides, respectively, and 30 and 5 cm at Tiwoho. 108

 Two wind systems affect local weather conditions at the two
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 sites. The north-westerly winds from the South China Sea arrive
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 in North Sulawesi in November, the onset of the rainy season.
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 The dry season under the influence of south-easterly winds
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 blowing from the wintery Australian land mass towards Eastern
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 Sulawesi is usually short, extending from August to November
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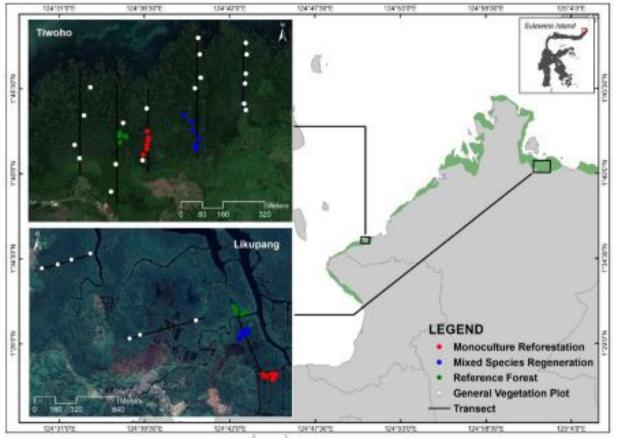


Figure 1. Location of the two focal study sites, the coastal fringing manoprove at Trwoho and the estuarine manyrove system at Likupang, North Sulawesi, Indonesia. Inserts: Position of transects and plots (colored circles) at each site.

or early of December. Total annual rainfall ranges from 2,501 to 3,000 mm, and air temperature varies little throughout the year, ranging between 25.5 and 27.0°C (Djamaluddin 2019).

Likupang and Tiwoho have a similar history of deforestation for shrimp farming. In Likupang, approximately 500 ha of the approximately 900 ha of the estuarine riverine/system had been cut down in 1985 (personal communication of villagers). After shrimp farming had become unperductive due to disease and water fouling, most areas were left fallow for 8-10 years. In 2003, approximately 40 fits of this degraded area was restored by a community-based mangrove restoration initiative. Since regular tidal flooding still occurred, only the locks between ponds had to be opened, and in the following years dykes/dams broke down naturally. Most of the area (approximately 33 ha) was reforested with Rhizophora mucronata planted in narrow rows (i.e. Likupang "Monoculture Reforestation"). In the remaining approximately 7 ha of the area, an approach of facilitated regeneration was taken. Some few propagules and saplings of R. apiculata and R. mucronata were initially randomly planted (not in dense rows), followed by natural regeneration (i.e. Likupang "Mixed Species Regeneration"). Directly to the north of the former aquaculture area a large mature mangrove forest had remained that had never been logged for aquaculture. It served as the "Reference" stand for the Likupang site in this study (see below).

In Tiwoho, in 1991 approximately 15.2 ha of mangroves were cleared followed by construction of aquaculture ponds (Djamaluddin et al. 2019b). As in Likupang, the ponds quickly became unproductive and were abandoned in 1993. The area remained unused until a community-based restoration program was launched in 2004 (Brown & Djamaluddin 2017). The aim of this community-based project was to facilitate natural secondary succession of mangroves for most of the restoration area (approximately 13 ha). Man-made drainage channels were therefore filled in and dyke walls removed between November 2004 and February 2005 (Cameron et al. 2019; Djamaluddin et al. 2019b), which allowed the Tiwoho "Mixed Species Regeneration" forest to subsequently regenerate naturally (i.e. "Ecological Restoration," no facilitation planting involved). Nearby, monospecific planting with Ceriops tagal was undertaken in an area of approximately 2 ha, that is, the Tiwoho "Monoculture Reforestation." The restored areas are surrounded

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by mature mangrove forest that has not been logged in the past (Cameron et al. 2019), which served as a "reference" area in this study (see below). In the following, when we speak of "14/16 years," we refer to the onset of restoration activities at Tiwoho and Likupang, respectively.

The two focal mangrove systems differ in their management 6 status. The Likupang mangroves fall under the local coastal for-8 est status, regulated by the local government at regional level, 9 under the category of "protected forests" under North Minahasa Regency Regional Regulation No. 1 of 2013 (https://peraturan. bpk.go.id/Home/Details/22655). Only nondestructive activities 11 and ecosystem service uses are permitted (e.g. permitting fisher-13 ies but not timber extraction), that do not change the functioning 14 of the forest. Due to the lack of a dedicated authority, activities 15 undertaken in the Likupang mangrove forests are not monitored and law enforcement is absent. In contrast, the mangroves at 16 17 Tiwoho have been part of the Bunaken National Park since 18 1991 and managed under the scheme of national regulation for 19 conservation areas since 1993, with stricter monitoring and con-20trol through the park's authority compared to Likupang.

The vegetation surveys for this study were conducted in August 2019 for Trwoho Site, and in September 2019 for Likupang.

#### Assessment of Overall Number of Mangrove Species (Land-Seaward Transects)

At each focal site, the number of mangrove species was first assessed along land-seaward transects placed across the mangrove area. At Likupang, three line transects were selected to represent all dominant mangrove communities present. A total of 31 plots (10 m × 10 m each) were sampled along the transects to assess the vegetation. At Tiwoho, five line transects were put in place to cover all dominant association types across the mangrove belt. A total of 36 plots (10 m × 10 m each) were sampled along the line transects.

Within plots, all specimens of true mangrove species
 (Tomlinson 2016) were identified. Species identification was
 based on morphological characteristics and compared with
 reference literature (e.g. Ding-Hou 1958; Mabberley et al. 1995;
 Noor et al. 2006; Tomlinson 2016).

# 43 Comparative Assessment of Vegetation Structure, Diversity, 44 Aboveground Biomass and Canopy Cover in Restored Plots 45 Versus Reference Plots

46 Through comparison of vegetation structure and diversity of the 47 mangroves inside the "Monoculture Reforestation," "Mixed 48 Species Regeneration" and "Reference" stands, we assessed current 49 status and similarities between the two different methods of restora-40 tion and the reference forests 14- and 16-years post restoration.

51 For this comparison, a total of six 10 × 10 m "treatment 52 comparison plots" from the total vegetation (transect) survey 53 (see respective section above) were used per restoration area 54 ("Monoculture Reforestation" and "Mixed Species Regenera-55 tion"), as well as the nearest forest stand that had not been 56 deforested for aquaculture, serving as the "Reference" area. 57 The total number of plots considered for the comparison was

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18 in Likupang and 17 in Tiwoho, where only 5 plots were sampled at the reference area. 58

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The vegetation structure inside the plots (as also true for all 60 other data) was analyzed separately for Tiwoho and Likupang, 61 given that the two focal sites have different geomorphological 62 settings. Compositional analysis of the vegetation inside the 63 plots was conducted to explore the difference in species com-64 position among the three treatment areas (i.e. "Monoculture 65 Reforestation" "Mixed Species Regeneration" and "Reference") 66 using the R packages mvabund and ecocopula (Wang 67 et al. 2012; Popovic et al. 2019; R Core Team 2020) that allow to perform Multivariate Generalize Linear Model using a 69 negative binomial distribution. We tested for differences in 70 the chosen uni- and multivariate response variables (number 71 of mangrove species, Shannon diversity and compositional 72 diversity, respectively) among the three treatments, with treat-73 ment being our categorical explanatory variable (three levels: "Monoculture Reforestation" "Mixed Species Regeneration" 75 and "Reference"). To consider spatial pseudoreplication, 76 "plot" was selected as a random factor within each treatment area. Prior to running the analysis of variance statistical tests, 78the underlying assumptions were checked, and DBH and 79 Circumference response variable were log transformed from 80 normality. To calculate species representativeness of the plots 81 used for the comparison of the three treatments, the number 82 of species contained in these plots was compared to the overall 83 floral species surveyed in the forest running a Venn-diagram 84 analysis using the R package ggVennDiagramm (Chen & 85 Boutros 2011), Results are visualized by Chord diagrams using 86 the package circlize (Gu et al. 2014). 87

Inside each plot, tree diameter and height (the latter not presented here) were measured to estimate aboveground biomass. Diameter measurements using a measuring tape were made at breast height (about 1.3 m) for trees with a single stem. For multi-stemmed trees, all stems were measured at about breast height. For mangrove shrubs, diameter measurements were made at the base (i.e. the lower part of the stem approximately 10 cm above the aboveground root system).

Aboveground biomass (AGB) is presented for the treatment plots. It was calculated using the equation proposed by Komiyama et al. (2005):

$$W_{top} = 0.251 \rho D^{2.46}$$

where  $W_{sop}$  (aboveground biomass, kg),  $\rho$  (wood density, g/cm<sup>3</sup>), D (diameter breast height, cm). In this study values of wood density followed Korniyama et al. (2005) that were 0.475 for Sonneratia alba, 0.770 for Rhizophora apiculata, 0.746 for Ceriops tagal, 0.701 for R. mucronata, 0.699 for Bruguiera gymnorrhiza, and 0.7316 for Avicennia marina (World Agroforestry Centre 2021).

Canopy closure inside the plots was also assessed, using an across wire on a free-swinging vertical tube with a 45° mirror, developed by Winkword and Goodall (1962) and adapted for mangroves by Djamaluddin (2004). Measurements were made when the movement of foliage was minimal as recommended by Specht and Morgan (1981). 4

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#### Assessment of Overall Number of Mangrove Species (Land-Seaward Transects)

A total of 24 true mangrove species were identified across both sites, belonging to 11 families and 15 genera (Table 1). At Tiwoho, 22 species, from 11 families and 14 genera were recorded (Table 1), three were widely distributed across the forest, including R. apiculata, Sonneratia alba, and Bruguiera gymnorrhiza. In the landward zone, Acanthus ilicifolius was common. 11 of the 22 species were categorized as uncommon and seven as rare. The latter were found at higher elevations further inland, including one S. ovata specimen.

At Likupang, 21 species, from 10 families and 14 genera, were observed (Table 1). Nine were common throughout, including two species of Rhizophora (R. mucronata and R. apiculata), S. alba, B. gymnorrhiza, and in the landward margin B. sexangula, Avicennia marina, Acanthus ilicifolius, Nypa fruticans, and Xylocarpus granatum were common. Eight species were uncommon and four rare, including Avicennia alba, Pemphis acidula, Bruguiera parviflora, and Excoecaria agallocha. In the restored areas R. mucronata was the dominant species.

#### Comparative Assessment of Vegetation Structure, Diversity, Aboveground Biomass, and Canopy Cover in Restored Versus Reference Plots

Number of Species in Treatment Plots Compared to Transect Surveys. At both Likupang and Tiwoho, the "treatment comparison plots" contained fewer species than encountered across

the entire land-seaward transect sampling. The Likupang 58 treatment plots contained 68% (see Fig. 2. for absolute species 99 numbers) of the species in total, with 17 and 42% each for the 60 "Monoculture Reforestation," "Mixed Species Regeneration" 61 and 58% for the "Reference" treatment areas, respectively 62 (Fig. 2A). In Tiwoho, the "treatment comparison plots" contained 63 75% of the species found along the total length of the land-64 seaward transects, with 38, 50, and 63% for the "Monoculture Reforestation," "Mixed Species Regeneration" and "Reference" 66 treatment areas, respectively (Fig. 2B). 67

#### Community Structure

Alpha Diversity and Aboveground Biomass. Overall, species number (Fig. 2A,B) and likewise Shannon alpha diversity **F3**3 (Fig. 3A,B) differed significantly between treatments, in both Likupang (Shannon diversity: analysis of variance [ANOVA],  $F_{[2,15]} = 6.81$ , (p < 0.001) and Tiwoho (Shannon diversity: ANOVA, F<sub>12,151</sub> = 4.33, p < 0.05). However, pairwise comparison revealed that at both sites only the difference between "Monoculture Reforestation" and "Reference" was significant.

Relative species abundance (i.e. individuals per species in %) shows a clear trend at both sites, with a dominance of R. mucronata and C. tagal in Likupang's and Tiwoho's 'Monoculture Reforestation" treatment area, respectively (Fig. 3C,D). Aboveground biomass revealed a clear pattern with lowest values for "Monoculture Reforestation," intermediate values for "Mixed Species Regeneration" and highest values for "Reference" (Fig. 3E,F).

Table 1. Presence of true mangrove species at Tiwoho and Likupang. *** (common species-widely distributed or consistent)	y found in low, middle, or high
intertidal zones of the transects), ** (uncommon species-found only at specific localities), * (rare species-very occasionally in the species-very occasionally in the species-very occasionally in the species of the s	found only), X (absent)

Family	Species	Species Trevoho	
Acanthaceae:	Acanthus illeifolius L	***	***
	Avicennia marina (Forsk.)	**	***
	Avicennia alba Blume	X	
Arecaceae:	Nypa fraticans (Thunb.) Wurmb.	**	***
Combretaceae:	Lunnitzera littorea (Jack) Voigt.	**	x
Euphorbiaceae:	Excoecaria agallocha Linnaeus		
Meliaceae:	Xylocarpus granatum König		***
	Xylocarpus molucensis Pierre		**
Primulaceae:	Aegiceras corniculatum (L.) Blanco	**	**
Pteridaceae:	Acrostichum aureum Linnaeus	**	**
A _ J	Acrostichum speciosum Wildenow	**	**
Rhizophoraceae:	Bruguiera gymnorrhiza (Linnaeus) Lamk.	***	***
	Bruguiera parviflora Weight & Arnold ex Griffith		
	Bruguiera ucronate (Lour.) Poir.	**	***
	Ceriops tagal (Perr.) C.B. Robinson	**	**
	Ceriops zippeliana Blume		X
	Rhizophora mucronata Blum	***	***
	Rhizophora mucronata Lamk.	**	***
	Rhizophora stylosa Griffith		**
Rubiaceae:	Scyphiphora hydrophyllacea Gaertn.f.	**	**
Lythraceae:	Pemphis acidula Forst &Forst	х	
	Sonneratia alba J. Smith	***	***
	Sonneratia ovata Backer	*	x
Sterculiaceae:	Heritiera littoralis Dryand	**	**
Number of species	ber of species 22		21

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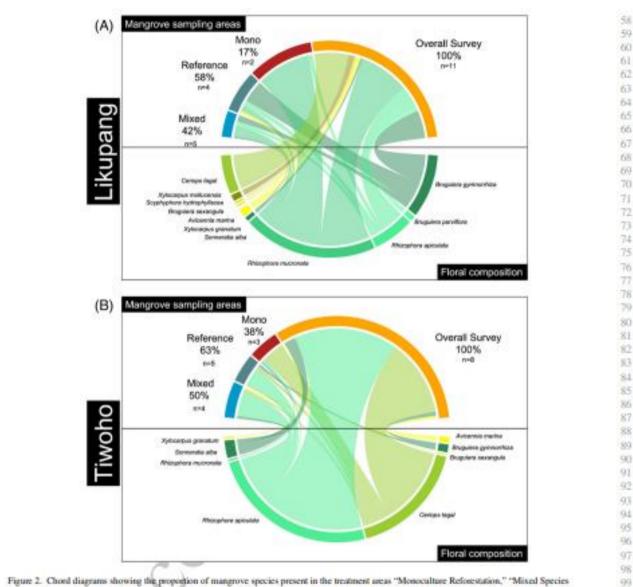
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42 Figure 2. Chool diagrams showing the proportion of mangrove species present in the treatment areas "Monoculture Reforestation," "Mixed Species 43 Regeneration" and "Reference," compared to the species encountered in plots over the entire length of the land-seaward transect survey sampling, at Likupang 44 and Tiwoho, respectively. Numbers underneath % values indicate the number of species encountered.

#### 46 Beta Diversity

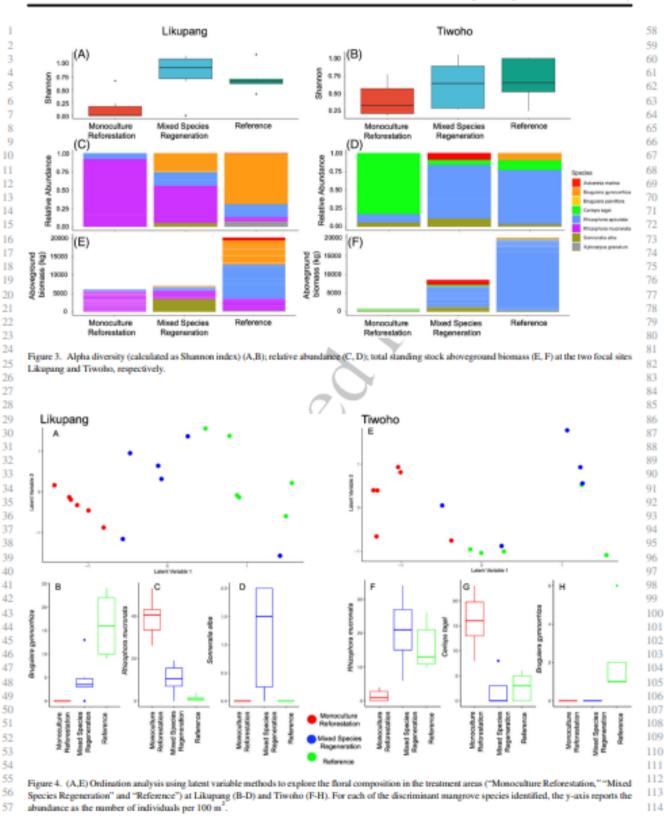
Compositional analysis revealed a significant difference of the 4.9 floral community among the three treatments (Fig. 4A,E, Table S1; Manyglm for Likupang Deviance2,15 = 80.91, p < 0.001; Manyglm for Tiwoho Deviance2.15 = 61.67, p < 0.001) and clearly separated communities (Fig. 4) at both focal sites: in Likupang the main discriminatory species was B. gymnhorrhiza (Fig. 4B), with highest abundance in the "Ref-erence" area, while R. mucronata (Fig. 4C) was significantly more abundant in the planted "Monoculture Reforestation" area compared to "Mixed Species Regeneration" and "Reference." S. alba (Fig. 4D) was only present in the "Mixed Species 

Regeneration" plots (albeit in low numbers). In Tiwoho, *R. apiculata* abundance was higher in the "Mixed Species Regeneration" and "Reference" areas than in the "Monoculture Reforestation" (Fig. 4F), where *C. tagal* was significantly more abundant (Fig. 4G). Although not frequent, *B. gymnorrhiza* was significantly more abundant in the "Reference" plots than in the other two treatment areas.

#### Structural Parameter (DBH and Canopy Cover)

The difference in floral composition is mirrored by different structural parameters inside the areas investigated, that is,

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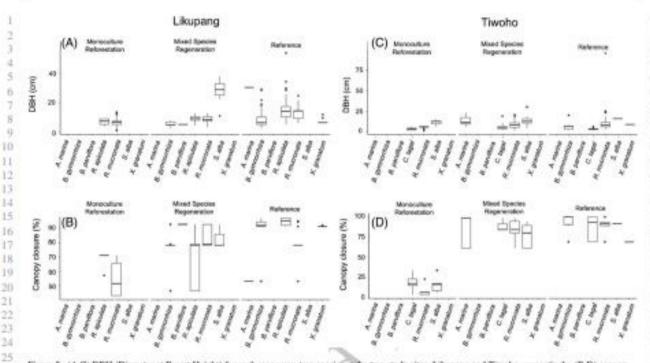


Figure 5. (A,C) DBH (Diameter at Breast Height) for each mangrove tree species at the two study sites, Likupang and Trwoho, respectively. (B,D) canopy coverage of the mangrove tree species across the three treatment areas studied for Likupang and Trwoho, respectively.

29 canopy cover (Likupang F[3,505] = 30.6259, p < 0.001; Tiwoho 30 F<sub>[4,342]</sub> = 4.0711, p < 0.001) and diameter (Likupang 31  $F_{[3,505]} = 0.7096$ , p < 0.05; Tiwoho  $F_{[4,342]} = 2.3234$ . p < 0.05) of the mangrove trees inside the treatment plots 3700 (Table S2; Fig. 5). The trees inside the "Monoculture Reforestation" 34 area in Likupang have a similar canopy cover ranging from 50 to 70%. In Tiwoho, despite (again) similar tree diameter, canopy coverage in the "Monoculture Reforestation" area was around 26 25%, hence leaving most of the area uncovered by the vegetation. 38 In the "Mixed Species Regeneration" areas at both focal sites, 20 tree diameter was significantly larger than in the "Monoculture Reforestation" area. In both treatments, S. alba had the largest :40 41 diameter. The "Reference" area in Likupang had the highest tree 42 diameter across all species, while at Tiwoho, tree diameter in the "Reference" area was similar to the "Mixed Species Regeneration" 43 44 area. At both focal sites, "Reference" and "Mixed Species Regener-45 ation" areas had almost full capopy cover, with 85 and 75%, 46 respectively. 47

#### 10 Discussion

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50 We evaluated mangrove restoration success using several biodi-51 versity and forest structure indices, going beyond the minimally 52 adequate metrics of survival rate and area restored.

53 With a total of 24 mangrove species from 11 families and 54 15 genera (sea-landward transects), species richness at our two 55 study sites in North Sulawesi is high, in line with what can be 56 expected for this Indo-pacific biodiversity hotspot (Struebig 57 et al. 2022). Similar species numbers are known from Tomini Bay, North Sulawesi (Utina et al. 2019; Djamaluddin 185 et al. 2019a), central Sulawesi (Wahyuningsih et al. 2012), 67 Papua (Prawiroatmodjo & Kartawinata 2013; Dharmawan & 88 Widyastuti 2017; Wanma et al. 2019), eastern Kalimantan 89 (Ardiansyah et al. 2012; Warsidi 2017) and northern Philippines -00 (Primavera 2000). The absence of L. racemosa and 91 B. cylindrica at our Tiwoho study site is noteworthy since 92 both species are present in several locations nearby 02 (Djamaluddin 2018), pointing to differences in microhabitat Q.t conditions. Mangrove species vary in their tolerance to, for 105 example, duration of tidal flooding, degree of shading, eleva-95 -17 tion of the land, among other environmental variables (Kairo et al. 2001; Duke 2011). Accordingly, species composition 100 varied in sub-habitats across Bunaken Island National Park 00 in Northern Sulawesi, characterized by different tidal inunda-100 tion, freshwater influence, nature of soil, and topography.

The three treatment areas at the two focal sites contained 68 to 75% of the total number of mangrove species recorded in the transect vegetation surveys (see above). 14 of 16 years after the restoration activities, species number (and also 105 Shannon diversity) still clearly mirrored site history, with 10/ highest numbers in the "Reference" area (relative to transect 107 survey: Likupang 58%; Tiwoho 63%; absolute species number: Likupang: 5, Tiwoho: 6), followed by the "Mixed 104 Species Regeneration" (relative to transect survey: Likupang 42%, Tiwoho 50%; absolute species number: Likupang: 5, Tiwoho: 4) and "Monoculture Reforestation" areas (relative to transect survey: Likupang 17%; Tiwoho 38%; absolute species number: Likupang: 2, Tiwoho: 3). Relative species

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abundance similarly reflects past restoration regimes, with
 highest values for the "foundation" species planted in narrow
 rows in the "Monoculture Reforestation" stands at both sites.
 14 of 16 years on, only one nonplanted species was found
 at the "Monoculture Reforestation" plots at Likupang

6 (R. apiculata) and two in Tiwoho (R. apiculata and S. alba), demonstrating the low success of "newcomers" in getting 8 established in the narrow rows of densely planted mangroves. 0 Shading through dense canopy and roots have likely reduced the chance for establishment of naturally arriving propagules, rather than a shortage of propagules of other species being flushed into the monoculture stands (since these were found 13 on the ground). The more natural/stochastic restoration that 14 was applied to the "Mixed Species Regeneration" treatments 15 gave more opportunities for "new" species (i.e. Likupang-S. alba, B. gymnorrhiza, and B. parviflora; Tiwoho: all four 17 species observed since none was planted) to establish, evi-18 denced by the higher overall species number. While in the 19 fringing mangroves of Tiwoho natural regeneration had to 20 be facilitated by digging tidal trenches, in the estuarine forest 21 of Likupang no other hydrological intervention than opening 22 the locks of the shrimp ponds was necessary, but natural 23 regeneration was initially facilitated by randomly planting 24 seedlings or saplings of R. mucronata and R. apiculata in 25 low density.

26Compared to more natural diverse forests with heteroge-27 neous tree ages, monoculture mangrove plantations are more 28 vulnerable to stand diebacks and windfall, due to their 29 homogenous cohort structure and regular spacing constella 30 tion (Kautz et al. 2011). A study from the Can Gio Biosphere 31 Reserve, Viet Nam, suggested the importance of small natu-32 ral disturbances, such as lightning strikes, to mitigate against windfall in planted homogenous forest. In the absence of natural small-scale disturbances of sufficiently high-enough frequency, manually creating small gaps may be an appropriate management strategy to help drive such mangrove 36 plantations towards more natural, resilient forests (Kautz et al. 2011; Vogt et al. 2013).

39 Similar to diversity and relative species abundance, man-40 grove aboveground biomass also reflected the history of the 41 three treatment areas. At both sites it was highest in the old 42 "Reference" stands. When comparing the two restoration treat-43 ments, aboveground biomass was lowest in the densely planted "Monoculture Reforestation" stands, due to lower tree-height 44 and stem diameter. In the similar aged, more heterogeneous 45 46 "Mixed Species Regeneration" stands, trees were higher and 47 stems thicker. Mangrove "blue carbon" is stored above and 48 belowground, with belowground carbon far exceeding above-49 ground stocks (Donato et al. 2011; Alongi et al. 2015; Malik 50 et al. 2020). While it is vital to restore mangrove forests for cli-51 mate change mitigation (to name just one of many more good 52 reasons), it is of utmost importance to conserve the remaining 53 valuable old natural mangrove forests, since carbon stores in 54 these are often essentially irrecoverable on human timescales 55 (Noon et al. 2022). 56

56 Mangrove compositional analysis of the three treatment 57 areas revealed different floral communities, again mirroring

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the original restoration actions at each focal site. In Likupang, 58 B. gymnorrhiza showing low abundance in the "Mixed Species 50 Regeneration" area compared to the "Reference" area, where this species dominated, was likely suppressed by the success of R. mucronata, and, to a lesser extent, of Rhizophora apiculata, as well as S. alba. The presence of S. alba in the "Mixed 63 Species Regeneration" area at this focal site illustrates how this 64 pioneer species succeeded in self-colonizing the new habitat 65 created after logging and the destruction of pond construction. 66 In the "Monoculture Reforestation" area this species was 67 unable to establish within the narrow rows of planted 68 R. mucronata. Considered a pioneer species, it is no surprise 69 that S. alba did not occur in the plots of the older, more mature 70 "Reference" area. In Tiwoho, the dominance of R. aniculata in 71 the "Mixed Species Regeneration" area compared to the other 72 73 two treatment areas likely resulted from the changes in habitat conditions following the hydrological restoration conducted. 74 The hydrological restoration increased the level and duration 75 of tidal immersion, and altered sediment texture, bringing 76 77 back habitat conditions suitable for R. apiculata (Djamaluddin et al. 2019b). The improved hydrology also facilitated devel-78 opment and growth of the planted C. tagal seedlings in the 79 "Monoculture Reforestation" area. Seedlings of S. alba and 80 A. marina naturally established already within 3 years after 81 the restoration activities had taken place (Djamaluddin 82 et al. 2019b). B. gymnorrhiza was only found in the "Reference" 83 plots. This particular species might have failed to establish in the 84 ex-shrimp pond areas, as these areas were still waterlogged in the 85 early stages of the hydrological restoration, hampering seedling 86 growth. A previous study indicated that waterlogging is the most 87 likely factor influencing the success of early establishment of B. gymnorrhiza seedlings (Ye et al. 2003). Why the species has 89 not established in later years is not clear. Today, tidal inundation 90 did not differ significantly between the three areas (O'Connell 91 et al. 2022). 92

The differences in floral composition were also reflected 93 by DBH. At Likupang, the higher variation in DBH in the "Reference" area compared to the other two areas was likely 95 96 linked to the overall higher age of the trees, the lower density (R. mucronata compared to the planted trees in the 97 "Monoculture Reforestation" plots) and higher species 98 diversity. The highest DBH was recorded for the fast-00 growing S. alba in the "Mixed Species Regeneration" area, 10 typical for pioneer species (Oliver & Larson 1996). Young 10 trees of this species grow particularly fast compared to other 103 species (Djamaluddin 2019). In Tiwoho, tree DBH in the "Mixed Species Regeneration" area was similar to the "Reference" area, where DBH was much lower than in the Likupang "Reference" area. The difference in DBH between 10 the reference forests of the focal sites was likely related to their different geomorphology-Tiwoho being a drier fringing mangrove forest compared to the estuarine Likupang site. 10

The difference in floral composition was also reflected by 111 canopy cover. Higher values in the "Reference" and "Mixed 11 Species Regeneration" areas at both sites indicate more 111 natural growth conditions compared to the densely planted 111 trees in the "Monoculture reforestation" areas that likely 114

experience much higher intraspecific competition and growth
 inhibition.

3 The key aim of the communities in Likupang and Tiwoho 4 when deciding to restore their local mangroves was to bring back diversity, ecosystem functioning and provisioning 5 services. Whilst the restored mangroves, particularly the "Mixed 6 7 Species Regeneration" area, have begun to resemble the 8 nearby reference sites, after only 14 of 16 years they still remain 9 significantly different when compared with the chosen baseline. Mangroves vary largely in their recovery time following major disturbances, such as through deforestation or tsunami/ earthquakes, from 10 to over 100 years, both within and between different mangrove areas (e.g. González et al. 2010). 14 Furthermore, there is no standard as to what constitutes "recov-15 ery" since, in the case of restoration/rehabilitation (R/R) projects, this will depend upon the original goals of such 16 projects. For example, if timber production for construction was the goal, R/R success would likely be reached faster than 18 19 if bringing back biodiversity and complex ecological networks (O'Connell et al. 2022) was the goal.

21 Assessing R/R successes through comparison with 22 present-day diversity and forest structure of nearby reference stands could benefit from complementary analysis of past 24 variability of mangrove forests (Jeffers et al. 2015; Sheaves 25 et al. 2021; Yando et al. 2021). For most mangroves long-26term monitoring of vegetation to track recovery time follow-27 ing disturbance is not available or only covers a short period 28of time at annual and occasionally at decadal resolution. 29 Palaeoecological data generated by analyzing sediment cores 30 for vegetation "proxies" (i.e. pollen) could provide pre-31 human impact vegetation baselines. However, even more 32 important is their ability to identify long-term processes and 33 cycles that allow natural resource managers to set targets 34 bearing in mind a dynamic landscape (Willis et al.) 2010; 35 Wingard et al. 2017). Ecological baselines are arbitrary and 36 refer to the state of a spatially delimited environment at a spe-37 cific point in time. The decision of where to set the baseline is 38 driven by the aims of the restoration project. Here we have 39 followed the conventional method of comparing restored 40sites with a nearby reference site that had not been subjected to deforestation and establishment of shrimp aquaculture 41 42 ponds. While the reference mangroves at both sites were more 43 diverse and less degraded than the restored mangroves, little else is known about their own levels of environmental degra-44 45 dation. Archeological evidence attests to the common exploitation of mangroves throughout prehistory across Southeast 46 47 Asia (e.g. Rabett 2005; Boulanger et al. 2019; O'Donnell 48 et al. 2020) and the impact of natural events can result in adja-49 cent mangroves stands representing communities at different 50 stages along the disturbance/recovery continuum. Incorporat-51 ing archeological, historical and palaeoecological data in the future could therefore provide useful insight into the site-52 53 specific history of reference and restored mangrove areas 54 alike to establish their natural ranges of variability and ensur-55 ing that sites are not restored or compared with a system in a 56 different but already degraded state (Soga & Gaston 2018;

#### 57 Manzano et al. 2020).

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Restoration Ecology

Restored mangroves' vegetation structure and diversity



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Q7	"Given Names" for Ilman et al 2021	Ilman M, Dargusch P, Dart PJ, Onrizal O (2021) A historical analysis of the drivers of loss and degradation of Indonesia's mangroves. Land Use Policy 54:448-459. <u>http://dx.doi.org/10.1016/j.landusepol.2016.03.0</u> 10
Q8	DOI number for Mabberley et al 1995	This is a book. It is suggested to cite this book as follows: Mabberley CM, Pannel CM, Sing AM (1995) Flora Malesiana. Series I - Spermathophyta, Flowering Plants. Volume 12, pages 1 – 388. Rijksherbarium/Hortus Botanicus, Leiden
Q9	DOI number for Makaruku & Aliman 2020	https://doi.org/10.37412/jrl.v2i2.2 The year of publication is not 2020, but 2019
Q10	"Volume number, page range" for Malik et al 2020	volume 13, pages 32-38
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		Correction for citation:
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# **Acceptance Letter**

### 03-Jun-2023

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--Valter Amaral, PhD Managing Editor, Restoration Ecology

Family	Species	Tiwoho	Likupang
Acanthaceae:	Acanthus ilicifolius L	***	* * *
	Avicennia marina (Forsk.) <mark>Vierh.</mark>	**	* * *
	Avicennia alba Blume	Х	*
Arecaceae:	Nypa fruticans <mark>Wurmb.</mark>	**	***
Combretaceae:	Lumnitzera littorea (Jack) Voigt.	**	х
Euphorbiaceae:	Excoecaria agallocha Linnaeus	*	*
Meliaceae:	Xylocarpus granatum König	*	***
	Xylocarpus molucensis <mark>(Lam.) M. Roem</mark>	*	**
Primulaceae:	Aegiceras corniculatum (L.) Blanco	**	**
Pteridaceae:	Acrosticum aureum Linnaeus	**	**
	Acrosticum speciosum Wildenow	**	**
Rhizophoraceae:	Bruguiera gymnorrhiza (Linnaeus) Lamk.	***	* * *
	<i>Bruguiera parviflora</i> ( <mark>Roxb.) Wight</mark> & Arnold ex Griffith	*	*
	Bruguiera <mark>cylindrica</mark> (L.) Blume	**	* * *
	Ceriops tagal (Perr.) C.B. Robinson	**	**
	Ceriops zippeliana Blume	*	х
	<i>Rhizophora <mark>apiculata</mark> B</i> lume	***	* * *
	Rhizophora mucronata Lamk.	**	* * *
	Rhizophora stylosa Griffith	*	**
Rubiaceae:	Scyphiphora hydrophyllacea <mark>C.F. Gaertn</mark>	**	**
Lythraceae:	<i>Pemphis acidula</i> <mark>J.R. Forst &amp; G.</mark> Forst	Х	*
	Sonneratia alba J. Smith	***	* * *
	Sonneratia ovata Backer	*	х
Sterculiaceae:	Heritiera littoralis <mark>Aiton</mark>	**	**
Number of Species		22	21

# Final Correction (Species Spelling Correction)

# **Decision on Manuscript**

**From:** Valter Amaral <<u>onbehalfof@manuscriptcentral.com</u>> **Sent:** Saturday, June 3, 2023 4:31:00 PM

# Subject: Restoration Ecology - Decision on Manuscript ID REC-23-002.R1

It is a pleasure to accept your manuscript entitled "Point of (no) return? Vegetation structure and diversity of restored mangroves in Sulawesi, Indonesia, 14-16 years on" in its current form for publication in Restoration Ecology. All appears to be in order for Production; Wiley-Blackwell Publishing will contact you direct if they have any queries. You will be informed of the issue number that your manuscript will appear in at a later date.

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Valter Amaral, PhD Managing Editor, Restoration Ecology

### cs-author@wiley.com

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